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Company:	U.S. Patent and Trademark Office (703) 872-9018 William Thomas Babbitt, Reg. No. 39,591				
Fax No.:					
From:					
Date:	December 18, 2001				
Number of Pages:	8 (Including Cover Sheet)				
Operator:	Nedy Calderon				
Our Reference:	2616 US/RTP/LE (BSTZ 004887.P090)				
SUBJECT:	Serial No. 09/298,064; Filed 04/22/1999				
REMARKS:	Please see the attached Extension of Time and Notice of Appeal filed November 5, 2001. If you have any questions, please do not hesitate to contact me at (310) 207-3800. Thank you.				

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THE APPARATUS AND METHOD FOR EXP	BSTZ Docket #:2616US/RYP/Atty/Scc:WTR/o Cilent: Applied Materials for DSING A SUBSTRATE TO PLASMA RADICALS
Inventor(s) Xing, Miner, Lopes, Kaluri, Tauber	
The following has been servined in the 140 B	
The following has been received in the U.S. Patent Amendment/Response (pgs)	& I rademark Office on the date stamped here on:
O Amendment/Response After Final (pgs)	
O Appelal Brief & two copies (pgs each)	O Form PTO-1533
	O Information Disclosure Statement & F FQ-1449 (
O Application - Rule 1 53(b)(2)	O Issue Fee Transmittel
	Notice of Appeal
O Application - Pula 4 Co/a)	Petition for Extension of Time: _two_acoths_ (
O Application .	O Petition (and
O Assignment & Cov. / note)	
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O Express Mail No.	O Reply Brief (pos)
O Declaration & POA(pgs) O Disclosure Doce & Inv's Signed Ltr	Response to Notice of Missing Parts pgs)
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App. No. <u>199/298.064</u> Filing Date: <u>04-22-99</u> Date Mailed: <u>11-05-01</u> Docket Due Date:	BSTZ Docket #:4887P090 Atty/SecWTB/ndc
App. No. <u>19/298.064</u> Filing Date: <u>04-22-99</u> Date Mailed: <u>11-08-01</u> Docket Due Date: Title <u>APPARATUS AND METHOD BOD EXPANSE</u>	BSTZ Docket #:
Title APPARATUS AND METHOD FOR EXPOSI	BSTZ Docket #:4837P000Alty/SecWTRode. —Client_Applied_Minerfels_loc. NG A SLIBSTRATE_XT_ELASAR ABUICALS.
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Under the Paperwork P	educion no or 1990, no person		Application Number		98,064		
			Filing Date	Apri	April 22, 1999		
TRANSMITTAL FORM (to be used for all correspondence after initial filing)		First Named Inventor	Gua				
		Group Art Unit	1763				
		Examiner Name	Zerv	igon, R.			
Total Number of Pages in This Submission		5	Attorney Docket Number	2616US/RTP/			
		ENCLOS	URES (check all that	app	ly)		
Fee Transmi	ittal Form	Assignm	nent Papers		After Allowance Commun to Group	cation	
Fee At	tached	Drawing	(s)		Appeal Communication to of Appeals and interferen	Board	
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After I	1	Petition			Proprietary Information		
Affidavits/declaration(s) Extension of Time Request Express Abandonment Request		Petition to Convert a Provisional Application Power of Attorney, Revocation Change of Correspondence Address			Status Letter		
					Other Enclosure(s) (please identify below):		
Information Disclosure Statement		Termina	il Disclaimer		Return receipt postcard		
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	SIGNATUR	E OF APPLI	CANT, ATTORNEY, OR	AGEN	т		
Firm	William Thoma	ıs Babbitt, Re	g. No. 39,591				
or Individual name	BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN						
Signature	1011						
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Under the Paperwork Reduction Act of 1995, no persons are required to respond to Complete If Known FEE TRANSMITTAL Application Number 09/298,064 Filing Date 04/22/99 for FY 2002 First Named Inventor Guangcai Xing, et al. Zervigon, R. Examiner Name Petent fues are subject to annual revision. Group Art Unit 1763 TOTAL AMOUNT OF PAYMENT (S) 610.00 Attorney Docket Number 2616US/RTP/

METHOD OF PAYMENT (check one)	FEE CALCULATION (continued)					
The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:		3. ADDITIONAL FEE				
		Entity				
Deposit Account 02-2666	Fee Code	Fee	Fee Code	Fee (\$)	Fee Description	Fee Paid
Number U2-2000	105		205		Surcharge - late filing fee or oath	
Deposit	127		227		Surcharge - late provisional filing fee or	
Account Name Blakely, Sokoloff, Taylor & Zafman LLP					cover sheet.	
Charge Any Additional Fee Required	139	130	139	130	Non-English specification	
					For filing a request for ex parte reexamination	
Applicant claims small entity status. See 37 CFR 1.27		920	112	920	Requesting publication of SIR prior to Examiner action	i
Payment Enclosed.					Requesting publication of SIR after	
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FEE CALCULATION	115		215		Extension for response within first month	290-
	116		216		Extension for response within second month Extension for response within third month	Z 90-
1. FILING FEE	117	1.440		720		
Large Entity Small Entity		1,960		980	Extension for response within fifth month	
Fee Fee Fee Fee Description Fee Paid	119		219	160	Notice of Appeal	320
Code (\$) Code (\$)	120		220		Fling a brief in support of an appeal	
101 740 201 370 Utility filing fee	121	280	221		Request for oral hearing	
106 330 206 165 Design filling fee	138	1,510	138	1,510	Petition to institute a public use proceeding	
108 740 208 370 Reissus filing fee	140	110	240	55	Petition to revive - unavoidably	
114 160 214 80 Provisional filing fee	141	1,280	241	640	Petition to revive - unintentionally	
		1,280			Utility issue fee (or reissue)	
SUBTOTAL (1) (\$)	143		243		Design issue fee	
2. CLAIMS Fee from	144 122		122		Plant issue fee Petitions to the Commissioner	
Extra below Fee Pald	123		123		Petitions related to provisional applications	
Total Claims 9 = X =	126		126		Submission of Information Disclosure Stmt	
X	581		581	40	Recording each patent assignment per	
Multiple Dependent Claims	•••				property (times number of properties)	
Large Entity Small Entity Fee Fee Fee Fee Description	146	740	246	370	Filing a submission after final rejection (37 CFR 1.129(a))	
Code (\$) Code (\$) 103 18 203 9 Claims in excess of 20	149	740	249	370	For each additional invention to be	
103 18 203 9 Claims in excess of 20 102 84 202 42 Independent claims in excess of 3	l				examined (37 CFR 1.129(b))	
104 280 204 140 Multiple Dependent claim	179	740			Request for Continued Examination (RCE)	
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SUBMITTED BY					Complete (if appli-	able)
Typed or Printed Name William Thomas Babbitt, Re	g. No. 3	9,591			Reg. Number	
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